

# Shape sensitivity analysis for a body with a thin rigid inclusion

Rudoy E.M.

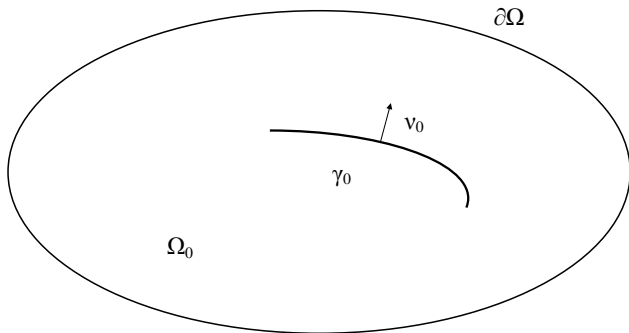
Lavrentyev Institute of Hydrodynamics of SB RAS  
Novosibirsk State University  
Novosibirsk, Russia

## Equilibrium problem

Let  $\Omega \subset \mathbb{R}^2$  be the bounded domain with the boundary  $\partial\Omega \in C^{0,1}$ .

Let  $\gamma_0$  be the curve of the class  $C^{1,1}$  without self-intersections;

$\Omega_0 = \Omega \setminus \bar{\gamma}_0$ .



Let  $\nu_0$  be the unit normal vector.

Let  $U = (u_1, u_2)^t$  be vector of displacements of points of the body  $\Omega_0$ .

Linear Hooke's law in  $\Omega_0$ :

$$\varepsilon_{ij}(U) = 1/2(u_{i,j} + u_{j,i}), \quad \sigma_{ij} = c_{ijkl}\varepsilon_{kl}(U),$$

where  $c_{ijkl}$  are components of symmetrical and positively defined tensor.

The set of infinitesimal rigid displacements:

$$R(\gamma_0) = \{\rho = (\rho_1, \rho_2)^t \mid \rho(x_1, x_2) = Bx + C, x = (x_1, x_2)^t \in \gamma_0\},$$

here  $B$  and  $C$  are arbitrary skew-symmetric matrix and constant vector, respectively:

$$B = \begin{pmatrix} 0 & b \\ -b & 0 \end{pmatrix}, \quad C = \begin{pmatrix} c^1 \\ c^2 \end{pmatrix}, \quad b, c^1, c^2 \in \mathbb{R}$$

## The differential formulation of the problem

$$-\sigma_{ij,j}(U_0) = f_i \quad \text{in } \Omega_0, \quad (i = 1, 2), \quad (1)$$

$$U = (0, 0)^t \quad \text{on } \partial\Omega, \quad (2)$$

$$U_0 = B_0x + C_0 \quad \text{on } \gamma_0^-, \quad (3)$$

$$[U_0^t]\nu_0 \geq 0 \quad \text{on } \gamma_0, \quad (4)$$

$$\sigma_\tau^+(U_0) = 0, \quad \sigma_{\nu_0}^+(U_0) \leq 0 \quad \text{on } \gamma_0, \quad (5)$$

$$\sigma_{\nu_0}^+(U_0)[U_0^t]\nu_0 = 0 \quad \text{on } \gamma_0, \quad (6)$$

$$\int_{\gamma_0} [\sigma(U_0)\nu_0]\rho ds_x = 0 \quad \forall \rho \in R(\gamma_0). \quad (7)$$

Here  $\sigma_{\nu_0}(U_0) = \sigma_{ij}(U_0)\nu_{0i}\nu_{0j}$ ,  $\sigma_{\tau i}(U_0) = \sigma_{ij}(U_0)\nu_{0j} - \sigma_{\nu_0}(U_0)\nu_{0i}$ ,  $i = 1, 2$ ;  $F^t = (f_1, f_2) \in \{C^1(\mathbb{R}^2)\}^2$  is specified vector; the signs  $\pm$  in (3)-(6) denote the trace of a function taken on the corresponding face  $\gamma_0^\pm$  of the crack  $\gamma_0$ .

## The variational formulation of the problem

The functional space

$$H^{1,0}(\Omega_0) = \{v \in H^1(\Omega_0) \mid v = 0 \text{ a.e. on } \partial\Omega\}$$

$$H(\Omega_0) = H^{1,0}(\Omega_0) \times H^{1,0}(\Omega_0)$$

The set of admissible displacements

$$K_0(\Omega_0) = \{U \in H(\Omega_0) \mid [U^t]\nu_0 \geq 0 \text{ a.e. on } \gamma_0, U|_{\gamma_0^-} \in R(\gamma_0)\}$$

The functional of the potential energy

$$\Pi(\Omega_0; U) = \frac{1}{2} \int_{\Omega_0} \sigma_{ij}(U) \varepsilon_{ij}(U) dx - \int_{\Omega_0} F^t U dx.$$

The problem (1)-(7) is equivalent to the following minimization problem:  
to find the function  $U_0 \in K_0(\Omega_0)$  such that

$$\Pi(\Omega_0; U_0) = \inf_{U \in K_0(\Omega_0)} \Pi(\Omega_0; U). \quad (8)$$

# Shape change

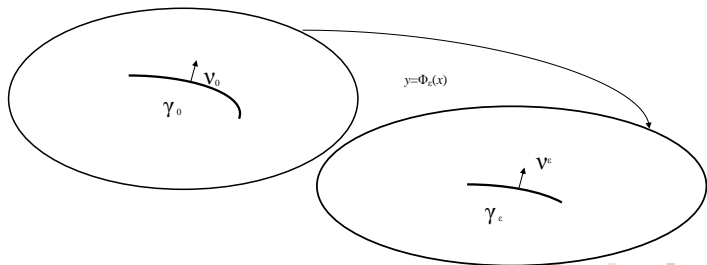
The coordinate transformation:

$$y = \Phi_\varepsilon(x), \quad (9)$$

where  $\varepsilon \in [0, \varepsilon_0)$ , ( $\varepsilon_0 = \text{const}$ ),  
 $\Phi_{\varepsilon i} \in C^1([0, \varepsilon_0); W_{loc}^{2, \infty}(\mathbb{R}^2))$  ( $i = 1, 2$ ),  
 $\Phi_0(x) = x$ .

The perturbed domain:

$\Omega_\varepsilon = \Phi_\varepsilon(\Omega_0)$  with the thin rigid inclusion  $\gamma_\varepsilon = \Phi_\varepsilon(\gamma_0)$   
 $\nu^\varepsilon$  – the unit normal vector to the perturbed inclusion  $\gamma_\varepsilon$



## The perturbed problem

The set of admissible displacements for the perturbed problem:

$$K_\varepsilon(\Omega_\varepsilon) = \{U \in H(\Omega_\varepsilon) \mid [U^t] \nu^\varepsilon \geq 0 \text{ a.e. on } \gamma_\varepsilon, U \in R(\gamma_\varepsilon^-)\}$$

The energy functional:

$$\Pi(\Omega_\varepsilon; U) = \frac{1}{2} \int_{\Omega_\varepsilon} \sigma_{ij}(U) \varepsilon_{ij}(U) dy - \int_{\Omega_\varepsilon} F^t U dy$$

The perturbed problem:

$$\Pi(\Omega_\varepsilon; U^\varepsilon) = \inf_{U \in K_\varepsilon(\Omega_\varepsilon)} \Pi(\Omega_\varepsilon; U). \quad (10)$$



# Transforming the perturbed problem back to the fixed domain

The inverse transformation



$$\Omega_\varepsilon \rightarrow \Omega_0, \quad H(\Omega_\varepsilon) \rightarrow H(\Omega_0)$$

$$\nu^\varepsilon \rightarrow \nu_\varepsilon, \text{ where } \nu_\varepsilon(x) = \nu^\varepsilon(\Phi_\varepsilon(x))$$

$$R(\gamma_\varepsilon^-) \rightarrow R_\varepsilon(\gamma_0^-) = \{U \mid U(x) = B\Phi_\varepsilon(x) + C, x \in \gamma_0^-\}$$



$$K_\varepsilon(\Omega_\varepsilon) \rightarrow K_\varepsilon(\Omega_0), \text{ where}$$

$$K_\varepsilon(\Omega_0) = \{U \in H(\Omega_0) \mid [U^t]\nu_\varepsilon \geq 0 \text{ a.e. on } \gamma_0, U \in R_\varepsilon(\gamma_0^-), \}$$

$$\nu_\varepsilon \neq \nu_0, R_\varepsilon(\gamma_0^-) \neq R(\gamma_0^-)$$



$$K_\varepsilon(\Omega_0) \neq K(\Omega_0)$$

## Asymptotic formulas

$$\begin{aligned}\Phi_\varepsilon(x) &= x + \varepsilon V(x) + r_1(\varepsilon, x), \quad \|r_1(\varepsilon, x)\|_{[W_{loc}^{2,\infty}(\mathbb{R}^2)]^2} = o(\varepsilon), \\ \frac{\partial \Phi_\varepsilon(x)}{\partial x} &= I + \varepsilon \frac{\partial V(x)}{\partial x} + r_2(\varepsilon, x), \quad \|r_2(\varepsilon, x)\|_{[W_{loc}^{1,\infty}(\mathbb{R}^2)]^4} = o(\varepsilon),\end{aligned}\tag{11}$$

where

$$V(x) = (V_1(x), V_2(x))^t = \left. \frac{\partial \Phi_\varepsilon(x)}{\partial \varepsilon} \right|_{\varepsilon=0},$$

$$\frac{\partial V(x)}{\partial x} = \begin{pmatrix} V_{1,1}(x) & V_{2,1}(x) \\ V_{1,2}(x) & V_{2,2}(x) \end{pmatrix}.$$

↓

$$K_\varepsilon(\Omega_0) = \{U \in H(\Omega_0) \mid U \text{ holds (12) and (13)}\},$$

where

$$[U^t]\nu_0 - \varepsilon[U^t] \left( \frac{\partial V}{\partial x} - \frac{r_4(\varepsilon, x)}{\varepsilon} \right) \nu_0 \geq 0 \text{ a.e. on } \gamma_0 \quad (12)$$

$$U|_{\gamma_0^-} = Bx + C + \varepsilon B \left( V + \frac{r_1(\varepsilon, x)}{\varepsilon} \right). \quad (13)$$

## Auxiliary statements

### Theorem

Let  $U_0 \in K_0(\Omega_0)$  be the solution of unperturbed problem (8) and let  $U_\varepsilon \in K_\varepsilon(\Omega_0)$  be the solution of the perturbed problem, transformed onto the domain  $\Omega_0$ . Then for any  $\varepsilon \in (0, \varepsilon_0)$  there exist vector-functions  $W_\varepsilon^1$  and  $W_\varepsilon^2$  such that

$$U_0 + \varepsilon W_\varepsilon^1 \in K_\varepsilon(\Omega_0), \quad U_\varepsilon - \varepsilon W_\varepsilon^2 \in K_0(\Omega_0),$$

The functions  $W_\varepsilon^i$ ,  $i = 1, 2$  have the following form:  $W_\varepsilon^i = P_\varepsilon^i + Q_\varepsilon^i$ , where

$P_\varepsilon^i$  correspond to the non-penetration condition and is constructed using the extension operator from the boundary of nonsmooth domain into the whole domain,

$Q_\varepsilon^i$  provide for inclusion to the set of rigid displacements and is constructed explicitly, using a cut-off function  $\theta$  such that  $\theta = 1$  in some neighborhood of  $\gamma_0$ .

## Auxiliary statements

### Theorem

$$\|U_\varepsilon - U_0\|_{H(\Omega_0)} \leq c\sqrt{\varepsilon}. \quad (14)$$

### Theorem

For  $i = 1, 2$ , as  $\varepsilon \rightarrow 0$ , we have

$$W_\varepsilon^i \rightarrow W_0 = P_0 + Q_0 \quad \text{strongly in } H(\Omega_0)$$

with the following properties

$$P_0|_{\gamma_0^+} = \left(\frac{\partial V}{\partial x}\right)^t [U_0],$$

$$P_0|_{\gamma_0^-} = (0, 0)^t.$$

$$Q_0 = \theta B_0 V.$$

## Shape derivative of the energy functional

Applying the transformation of coordinates (9) to integrals in  $\Pi(\Omega_\varepsilon, U)$ , we obtain a transformed functional

$$\begin{aligned}\Pi_\varepsilon(\Omega_0; U) &= \frac{1}{2} \int_{\Omega_0} \sigma_{ij}(U) \varepsilon_{ij}(U) dx - \int_{\Omega_0} F^t U dx + \\ &+ \frac{1}{2} \varepsilon \int_{\Omega_0} A_1(V; U, U) dx - \varepsilon \int_{\Omega_0} \operatorname{div}(V f_i) u_i dx + o(\varepsilon) R_3(\varepsilon, U),\end{aligned}$$

where  $|R_3(\varepsilon, U)| \leq c_1 \|U\|_{H(\Omega_0)}^2 + c_2$ .

### Shape derivative

$$\begin{aligned}\Pi'(\Omega_0; U_0) &= \lim_{\varepsilon \rightarrow 0^+} \frac{\Pi(\Omega_\varepsilon; U^\varepsilon) - \Pi(\Omega_0; U_0)}{\varepsilon} = \\ &= \lim_{\varepsilon \rightarrow 0^+} \frac{\Pi_\varepsilon(\Omega_0; U_\varepsilon) - \Pi(\Omega_0; U_0)}{\varepsilon}.\end{aligned}$$

By variational properties of the solutions  $U_0$  and  $U_\varepsilon$ , the following chain of inequalities is true:

$$\begin{aligned} \frac{\Pi_\varepsilon(\Omega_0; U_\varepsilon) - \Pi(\Omega_0; U_\varepsilon - \varepsilon W_\varepsilon^2)}{\varepsilon} &\leq \\ &\leq \frac{\Pi_\varepsilon(\Omega_0; U_\varepsilon) - \Pi(\Omega_0; U_0)}{\varepsilon} \leq \\ &\leq \frac{\Pi_\varepsilon(\Omega_0; U_0 + \varepsilon W_\varepsilon^1) - \Pi(\Omega_0; U_0)}{\varepsilon}. \end{aligned} \quad (15)$$

And we get

$$\begin{aligned} \Pi'(\Omega_0; U_0) = & \frac{1}{2} \int_{\Omega_0} A_1(V; U_0, U_0) dx - \int_{\Omega_0} \operatorname{div}(V f_i) u_{0i} dx + \\ & + \int_{\Omega_0} \sigma_{ij}(U_0) \varepsilon_{ij}(W_0) dx - \int_{\Omega_0} F^t W_0 dx \quad (16) \end{aligned}$$

with

$$A_1(V; U_0, U_0) = \operatorname{div} V \sigma_{ij}(U_0) \varepsilon_{ij}(U_0) - 2 \sigma_{ij}(U_0) E_{ij} \left( \frac{\partial V}{\partial x}; U_0 \right),$$

$$E_{ij} \left( \frac{\partial V}{\partial x}; U_0 \right) = \frac{1}{2} \left( \frac{\partial u_{0i}}{\partial x_k} V_{k,j} + \frac{\partial u_{0j}}{\partial x_k} V_{k,i} \right).$$



The derived formula contains the function  $W_0$  which is not unique. Using properties of  $W_0$ , the formula for shape derivative can be rewritten as follows:

$$\begin{aligned} \Pi'(\Omega_0; U_0) = & \frac{1}{2} \int_{\Omega_0} \operatorname{div} V \sigma_{ij}(U_0) \varepsilon_{ij}(U_0) dx - \int_{\Omega_0} \sigma_{ij}(U_0) E_{ij} \left( \frac{\partial V}{\partial x}; U_0 \right) dx - \\ & - \int_{\Omega_0} \operatorname{div} (V f_i) u_{0i} dx - \left\langle \sigma_{\nu_0}^+(U_0), [U_0^t] \frac{\partial V}{\partial x} \nu_0 \right\rangle_{1/2, \gamma_0}^{00} - \langle [\sigma(U_0) \nu_0], B_0 V \rangle_{1/2, \partial \Sigma_0}, \end{aligned} \quad (17)$$

where  $\Sigma_0$  is an arbitrary closed curve such that  $\gamma_0 \subset \Sigma_0$ .

## Invariant integrals

For special types of perturbations the derivative of the energy functional can be represented in the form of invariant integral, i.e., curvilinear integral, the value of which is independent of the path of integration.

### Example – perturbation of a rigid inclusion tip

Let  $\gamma_0$  be a rectilinear rigid inclusion, i.e., it belongs to some line  $x^t q = a$ , where  $q$  is a specified unit vector and  $a$  is specified constant. Let  $C_1$  and  $C_2$  be the tips of  $\gamma_0$ . Let  $F = (0, 0)^t$  in some vicinity  $\mathcal{U}$  of the inclusion  $\gamma_0$ . Choose the compactly supported in  $\Omega$  function  $\eta \in W_{loc}^{2,\infty}(\mathbb{R}^2)$ ;  $\eta = 1$  in some vicinity  $\mathcal{O} \subset \mathcal{U}$  of tip  $C_1$  of  $\gamma_0$ ;

$$\text{supp } \eta \cap C_2 = \emptyset.$$

Let the unit vector  $p = (p_1, p_2)^t$  be orthogonal to  $q$ , i.e.,  $p = (p_1, p_2)^t = (q_2, -q_1)^t$ . Consider a shift perturbation in the direction of vector  $p$ :

$$\begin{aligned} y_1 &= x_1 + \varepsilon p_1 \eta(x_1, x_2), \\ y_2 &= x_2 + \varepsilon p_2 \eta(x_1, x_2). \end{aligned} \tag{18}$$

By using additional  $H^2$ -smoothness of the solution, we obtain

$$\begin{aligned} \Pi'(\Omega_0; \eta p) = & - \int_S \left( (p \cdot n) W(U_0) - t_i(U_0) (p \cdot \nabla u_{0i}) + \right. \\ & \left. + b_0 p_2 t_1(U_0) - b_0 p_1 t_2(U_0) \right) ds_x. \quad (19) \end{aligned}$$

Here  $W(U_0) = \frac{1}{2} \sigma_{ij}(U_0) \varepsilon_{ij}(U_0)$  is the density of elastic energy of body,  $t_i(U_0) = \sigma_{ij}(U_0) n_j$ ,  $i = 1, 2$ , are components of the stress vector,  $S$  is the arbitrary contour around the tip of the rigid inclusion.