

Instance optimality of the adaptive maximum strategy

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joint work with

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Topics

- Adaptive finite element methods
- Known convergence results
- Newest vertex bisection
- Ingredients of a proof of instance optimality of AFEM with (a modified) maximum marking strategy

(A)FEM

Elliptic bvp

$$\begin{cases} -\Delta u = f & \text{on polygon } \Omega \subset \mathbb{R}^2 \\ u = 0 & \text{on } \partial\Omega \end{cases}$$

Var. form.: Find $u \in H_0^1(\Omega)$ s.t. $\int_{\Omega} \nabla u \cdot \nabla v = \int_{\Omega} f v$ ($v \in H_0^1(\Omega)$).

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FEM \mathcal{T} triangulation of Ω , $\mathbb{V}(\mathcal{T}) := \{u \in H_0^1(\Omega) : u|_T \in P_1(T) (T \in \mathcal{T})\}$.

Find $u_{\mathcal{T}} \in \mathbb{V}(\mathcal{T})$ s.t. $\int_{\Omega} \nabla u_{\mathcal{T}} \cdot \nabla v_{\mathcal{T}} = \int_{\Omega} f v_{\mathcal{T}}$ ($v_{\mathcal{T}} \in \mathbb{V}(\mathcal{T})$).

Then $|u - u_{\mathcal{T}}|_{H^1(\Omega)} = \inf_{v_{\mathcal{T}} \in \mathbb{V}(\mathcal{T})} |u - v_{\mathcal{T}}|_{H^1(\Omega)} \lesssim h_{\mathcal{T}} |u|_{H^2(\Omega)}$, $h_{\mathcal{T}} := \max_{T \in \mathcal{T}} h_T$.

If $\max_{T \in \mathcal{T}} h_T \approx \min_{T \in \mathcal{T}} h_T$ (quasi-uniform mesh), then $\#\mathcal{T} \approx h_{\mathcal{T}}^{-2}$, and so $|u - u_{\mathcal{T}}|_{H_0^1(\Omega)} \lesssim (\#\mathcal{T})^{-\frac{1}{2}}$. This rate $\frac{1}{2}$ generally the best possible.

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However not attained with quasi-uniform meshes for Ω with re-entrant corners because $u \notin H^2(\Omega)$.

Optimal rate can be retrieved by a *proper* local refinement near the re-entrant corners. To find such a triangulation “automatically” one applies AFEM.

A posteriori error estimator With $\mathcal{S}(\mathcal{T})$ set of sides, for $S \in \mathcal{S}(\mathcal{T})$,

$$\mathcal{E}_T^2(S) := h_S \|\llbracket \nabla u_T \rrbracket\|_{L^2(S)}^2 + \sum_{\{T \in \mathcal{T} : T \supset S\}} h_T^2 \|f\|_{L^2(T)}^2$$

For $\tilde{\mathcal{S}} \subset \mathcal{S}(\mathcal{T})$, $\mathcal{E}_T^2(\tilde{\mathcal{S}}) := \sum_{S \in \tilde{\mathcal{S}}} \mathcal{E}_T^2(S)$. Then

$$\text{squared } total \text{ error} := |u - u_T|_{H^1(\Omega)}^2 + \underbrace{\sum_{T \in \mathcal{T}} h_T^2 \|f - \bar{f}\|_{L_2(T)}^2}_{\text{osc}^2(\mathcal{T})} \approx \mathcal{E}_T^2(\mathcal{S}(\mathcal{T})).$$

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Estimator sum of local contributions. Viewing them as local error indicators suggests **AFEM** (1970's by Babuška et al.):

Solve \longrightarrow Estimate \longrightarrow Mark \longrightarrow Refine

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Common marking strategies: *Bulk chasing*: Collect smallest $\mathcal{M} \subset \mathcal{S}(\mathcal{T})$ (*sides*) s.t. $\mathcal{E}_T^2(\mathcal{M}) \geq \theta \mathcal{E}_T^2(\mathcal{S}(\mathcal{T}))$; *Maximum marking*: $\mathcal{M} = \{S \in \mathcal{S}(\mathcal{T}) : \mathcal{E}_T^2(S) \geq \theta \max_{\tilde{\mathcal{S}} \in \mathcal{S}(\mathcal{T})} \mathcal{E}_T^2(\tilde{\mathcal{S}})\}$ (no sorting needed, less sensitive to choice $\theta \in (0, 1]$).

Short history of AFEM convergence theory

Convergence proof in [Dörfler '96] (before that only results in 1D).

Improved result (allowing $\mathcal{T}_0 = \mathcal{T}_\perp$) in [Morin, Nochetto, Siebert '00].

Opt. *rates* for AFEM extended with coarsening in [Binev, Dahmen, DeVore '04]: If within class of triangulations \mathbb{T} (with $\overline{\cup_{\mathcal{T} \in \mathbb{T}} \mathbb{V}(\mathcal{T})} = H_0^1(\Omega)$), $\exists (\mathcal{T}_n)_n$ s.t. for some $s > 0$, $|u - u_{\mathcal{T}_n}|_{H^1(\Omega)} \lesssim (\#\mathcal{T}_n)^{-s}$, then sequence produced by this AFEM has this property.

Opt. rates without coarsening in [Ste '07]. Separate condition needed on the approximability of rhs by piecewise polynomials.

Proof that AFEM reduces the total error with opt. rate in [Cascón, Kreuzer, Nochetto, Siebert '08].

All results for bulk chasing marking strategy.

Instance optimality

Thm 1. For $(\mathcal{T}_k)_{k \in \mathbb{N}_0} \subset \mathbb{T}$ produced by AFEM with (a modified) maximum marking strategy,

$$|u - u_{\mathcal{T}_k}|_{H^1(\Omega)}^2 + \text{osc}^2(\mathcal{T}_k) \leq \tilde{C} (|u - u_{\mathcal{T}}|_{H^1(\Omega)}^2 + \text{osc}^2(\mathcal{T}))$$

for all $\mathcal{T} \in \mathbb{T}$ with $\#\mathcal{N}(\mathcal{T}) \setminus \mathcal{N}(\mathcal{T}_\perp) \leq \frac{\#\mathcal{N}(\mathcal{T}_k) \setminus \mathcal{N}(\mathcal{T}_\perp)}{C}$.

Instance optimality \implies optimal rates.

Energy minimalisation

Solving Poisson \iff minimizing Dirichlet energy

$$\mathcal{J}(v) := \frac{1}{2} \int_{\Omega} |\nabla v|^2 - f(v).$$

$$\mathcal{J}(\mathcal{T}) := \mathcal{J}(u_{\mathcal{T}}), \mathcal{J}(\mathcal{T}^{\top}) := \mathcal{J}(u).$$

For $\mathcal{T} \leq \mathcal{T}_* \leq \mathcal{T}^{\top}$:

$$\mathcal{J}(\mathcal{T}) - \mathcal{J}(\mathcal{T}_*) = \frac{1}{2} |u_{\mathcal{T}} - u_{\mathcal{T}_*}|_{H^1(\Omega)}^2.$$

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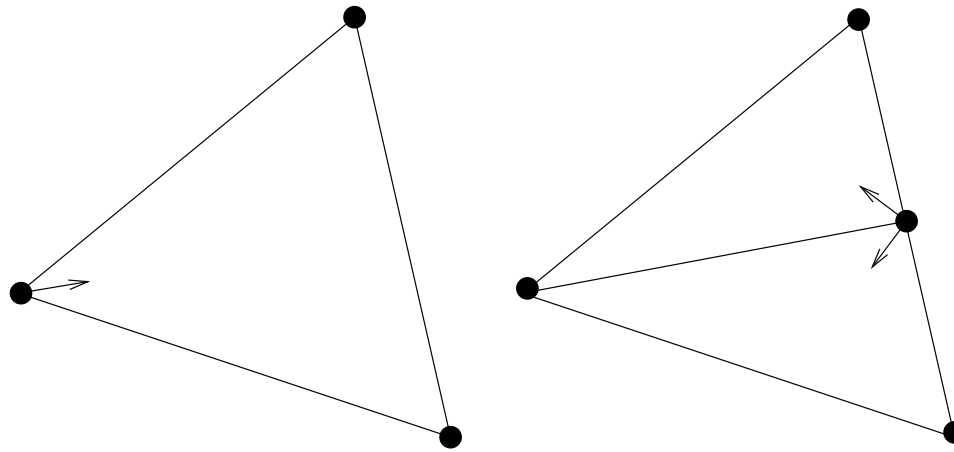
Total energy: $\mathcal{G}(\mathcal{T}) := \mathcal{J}(\mathcal{T}) + \sum_{T \in \mathcal{T}} h_T^2 \|f\|_{L_2(T)}^2,$

$$\mathcal{G}(\mathcal{T}) - \mathcal{G}(\mathcal{T}^{\top}) \approx |u - u_{\mathcal{T}}|_{H^1(\Omega)}^2 + \text{osc}^2(\mathcal{T}).$$

So suffices to prove

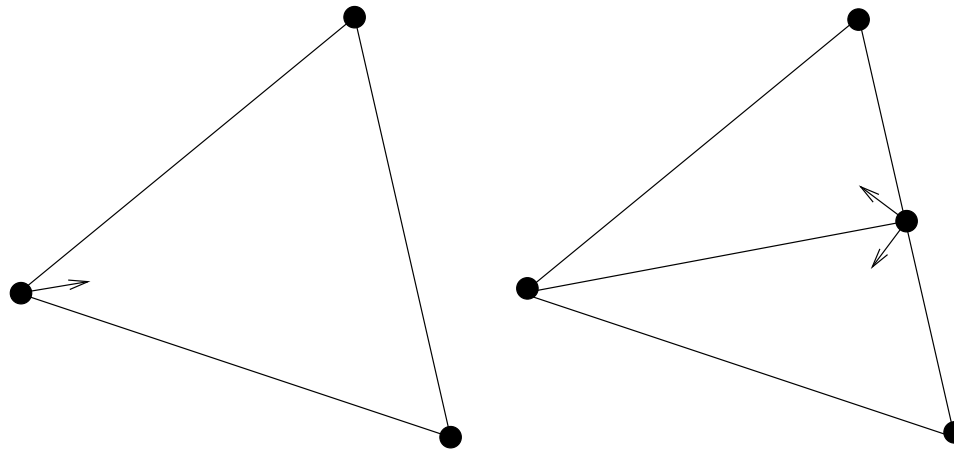
Thm 2. For $(\mathcal{T}_k)_{k \in \mathbb{N}_0} \subset \mathbb{T}$ produced by AFEM, $\mathcal{G}(\mathcal{T}_k) \leq \mathcal{G}(\mathcal{T})$ for all $\mathcal{T} \in \mathbb{T}$ with $\#\mathcal{N}(\mathcal{T}) \setminus \mathcal{N}(\mathcal{T}_{\perp}) \leq \frac{\#\mathcal{N}(\mathcal{T}_k) \setminus \mathcal{N}(\mathcal{T}_{\perp})}{C}$.

Specification of \mathbb{T} : NVB



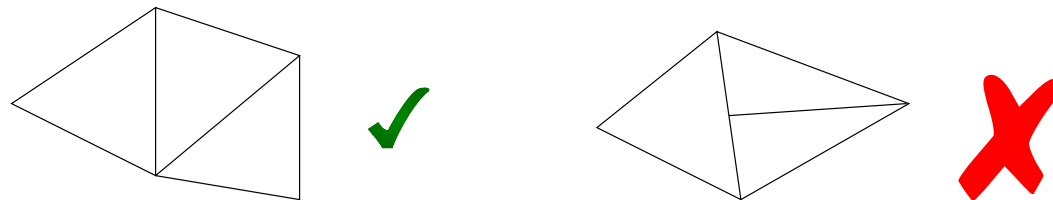
Set of all descendants organized as infinite binary tree. Uniform shape regularity.

Specification of \mathbb{T} : NVB



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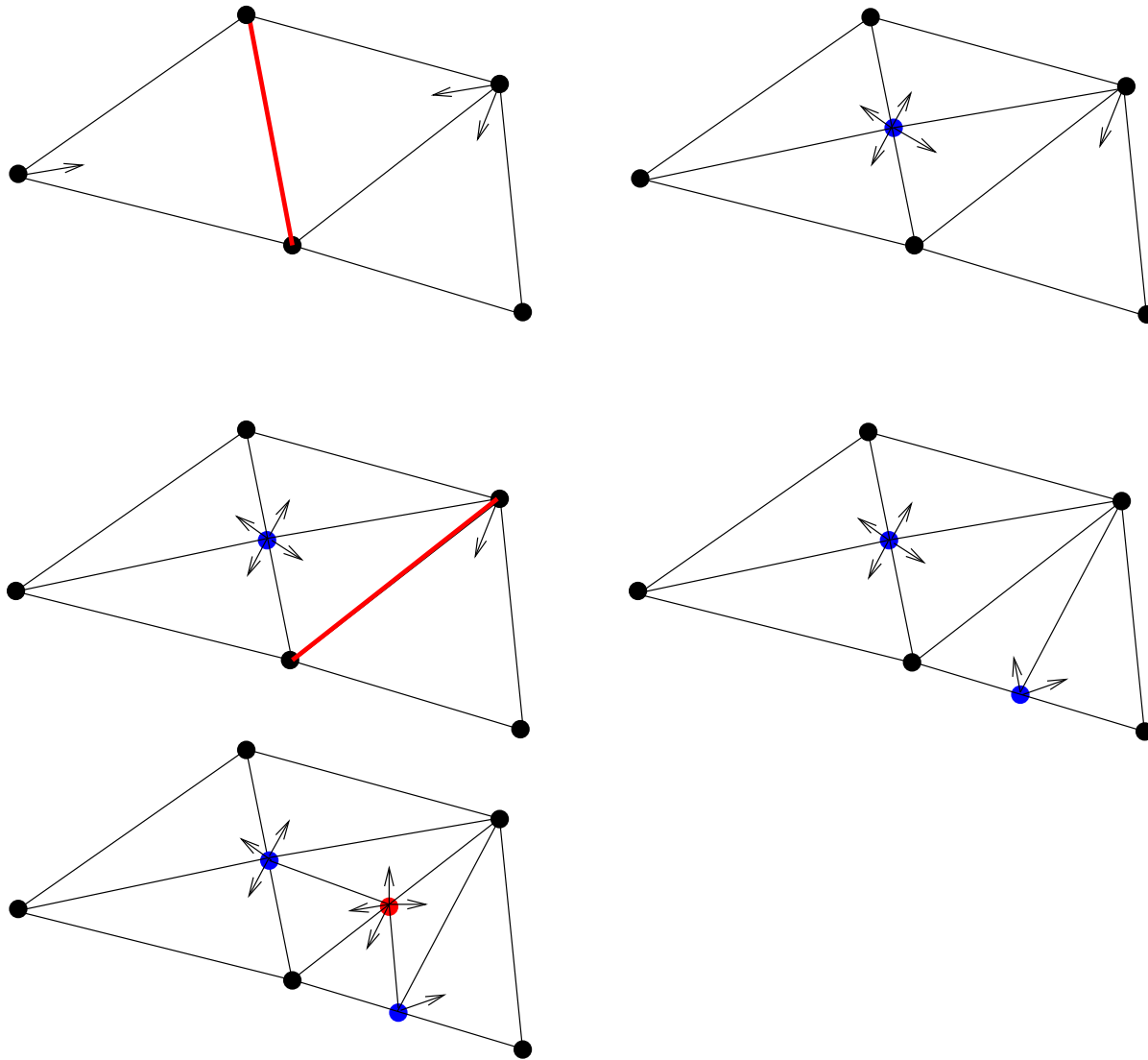
Given *conforming* initial triangulation \mathcal{T}_\perp ,



and a selection of the newest vertices in all $T \in \mathcal{T}_\perp$, let \mathbb{T} denote set of all *conforming* NVB descendants \mathcal{T} .

Refine

For $\mathcal{T} \in \mathbb{T}$, $\mathcal{M} \subset \mathcal{S}(\mathcal{T})$, let $\text{Refine}(\mathcal{T}; \mathcal{M}) \in \mathbb{T}$ smallest refinement of \mathcal{T} in which all $S \in \mathcal{M}$ have been bisected.



Thm 3 (Binev, Dahmen, DeVore '04). *With suitable choice of the newest vertices in \mathcal{T}_\perp , $(\mathcal{T}_k)_k \subset \mathbb{T}$ defined by $\mathcal{T}_0 = \mathcal{T}_\perp$ and $\mathcal{T}_{k+1} = \text{Refine}(\mathcal{T}_k; \mathcal{M}_k)$ for some $\mathcal{M}_k \subset \mathcal{S}(\mathcal{T}_k)$, $k = 0, 1, \dots$, satisfies*

$$\#\mathcal{N}(\mathcal{T}_k) \setminus \mathcal{N}(\mathcal{T}_\perp) \approx \sum_{i=0}^{k-1} \#\mathcal{M}_i.$$

Sufficient results for instance optimality

Let $\mathcal{T}_m^{\text{opt}}$ minimizes \mathcal{G} among all $\mathcal{T} \in \mathbb{T}$ with $\#\mathcal{N}(\mathcal{T}) \setminus \mathcal{N}(\mathcal{T}_\perp) \leq m$.

Lem 1. *Let $(\mathcal{T}_k)_{k \in \mathbb{N}_0} \subset \mathbb{T}$ prod. by AFEM, with $(\mathcal{M}_k)_{k \in \mathbb{N}_0}$ seq. of marked sides. With m s.t. $\mathcal{G}(\mathcal{T}_m^{\text{opt}}) \geq \mathcal{G}(\mathcal{T}_k) > \mathcal{G}(\mathcal{T}_{m+1}^{\text{opt}})$, one has*

$$\mathcal{G}(\mathcal{T}_k) - \mathcal{G}(\mathcal{T}_{k+1}) \geq \gamma \#\mathcal{M}_k (\mathcal{G}(\mathcal{T}_m^{\text{opt}}) - \mathcal{G}(\mathcal{T}_{m+1}^{\text{opt}})).$$

E.g. $\#\mathcal{M}_k \equiv 1$ and, say $\gamma = \frac{1}{2}$, then $\mathcal{G}(\mathcal{T}_k) \leq \mathcal{G}(\mathcal{T}_{\lfloor k/2 \rfloor}^{\text{opt}})$, and, using the BDD result, $\#\mathcal{N}(\mathcal{T}_k) \setminus \mathcal{N}(\mathcal{T}_\perp) \lesssim k$, which means instance optimality.

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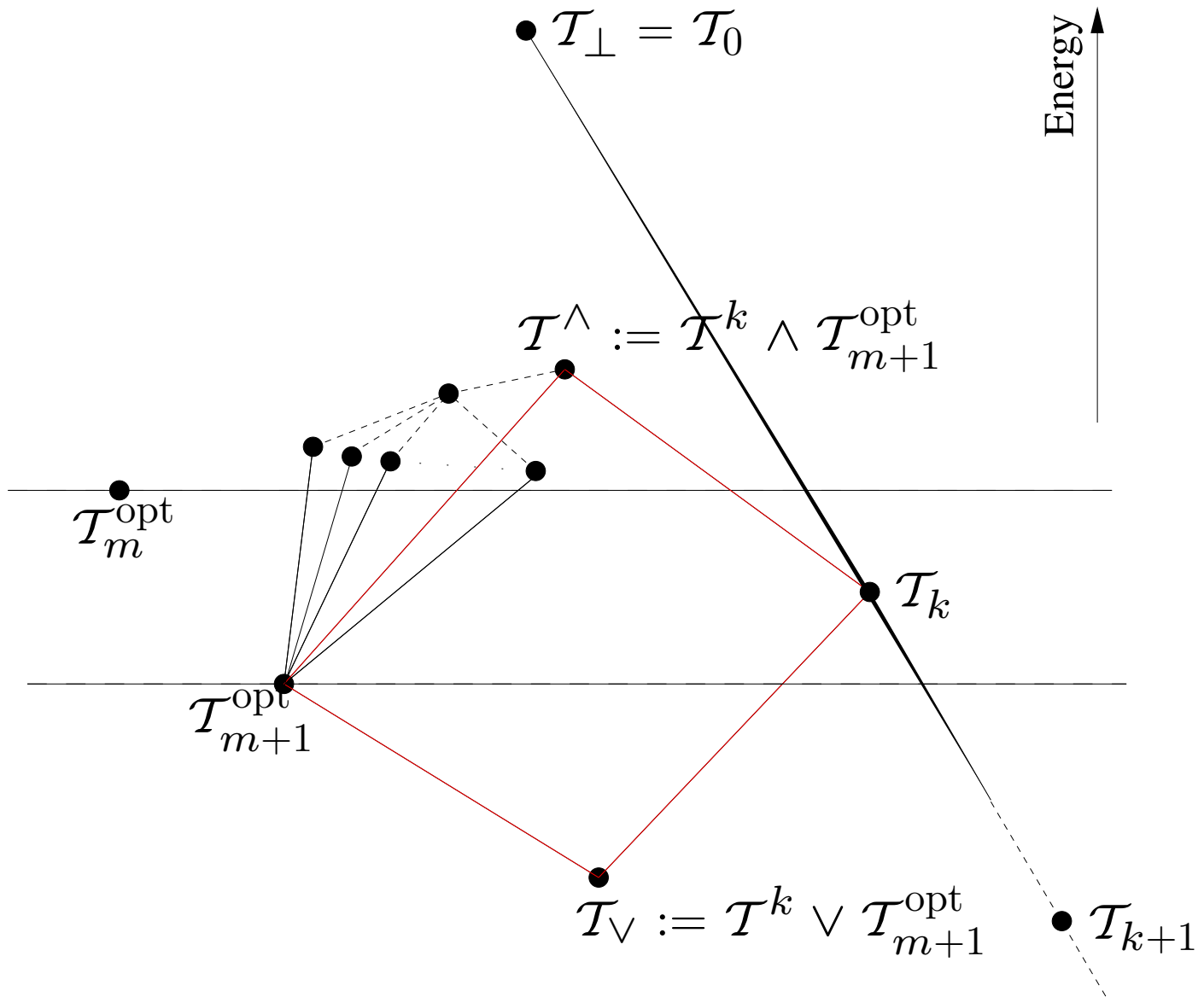
For $\#\mathcal{M}_k$ “large”, we have (with a similar proof):

Lem 2. $\mathcal{G}(\mathcal{T}_{k+1}) \leq \mathcal{G}(\mathcal{T}_{m + \lfloor \frac{\#\mathcal{M}_k}{K} \rfloor}^{\text{opt}})$.

Together Lemmas 1 and 2 give the instance optimality result Thm 2.

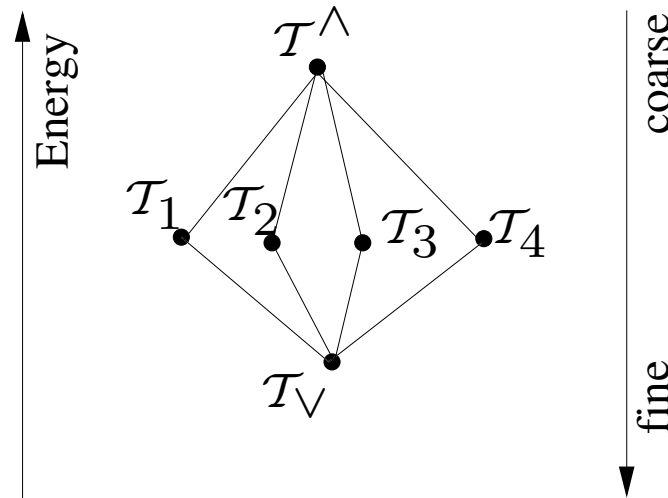
Focus on Lemma 1.

Illus. with Lemma 1



The lower diamond estimate

Def 1. For $\{\mathcal{T}_1, \dots, \mathcal{T}_m\} \subset \mathbb{T}$, we call $(\mathcal{T}^\wedge, \mathcal{T}_\vee; \mathcal{T}_1, \dots, \mathcal{T}_m)$ a *lower diamond* of size m when $\mathcal{T}^\wedge = \bigwedge_{j=1}^m \mathcal{T}_j$, $\mathcal{T}_\vee = \bigvee_{j=1}^m \mathcal{T}_j$, and the areas of *coarsening* $\Omega(\mathcal{T}_j \setminus \mathcal{T}_\vee)$ are pairwise disjoint.

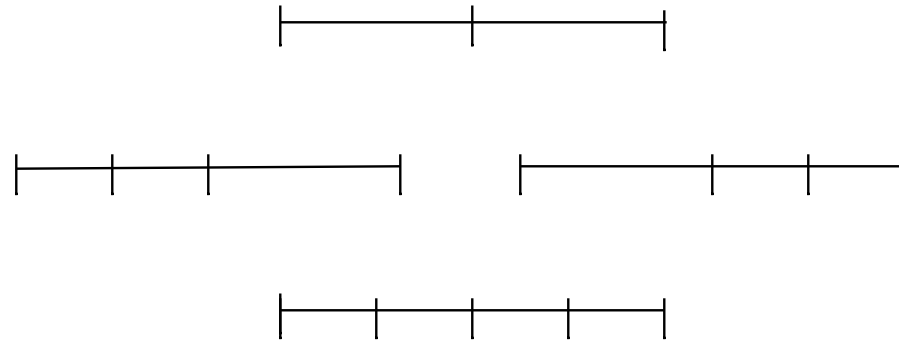


Rem 1. Last condition is fulfilled automatically when $m = 2$.

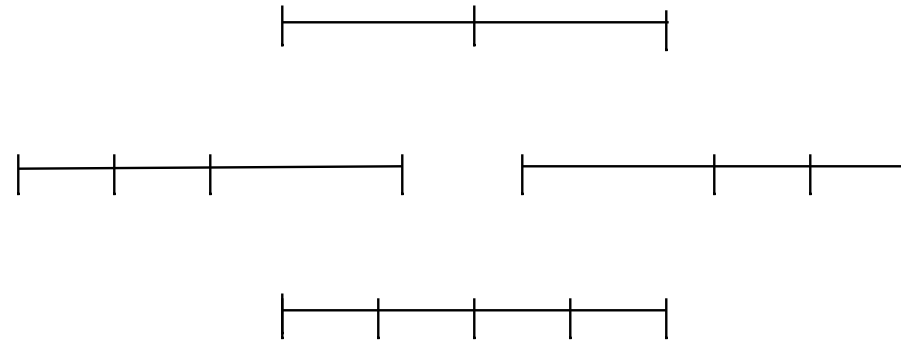
Thm 4. Let $(\mathcal{T}^\wedge, \mathcal{T}_\vee; \mathcal{T}_1, \dots, \mathcal{T}_m)$ be a lower diamond. Then

$$|u_{\mathcal{T}_\vee} - u_{\mathcal{T}^\wedge}|_{H^1(\Omega)}^2 \approx \sum_{j=1}^m |u_{\mathcal{T}_\vee} - u_{\mathcal{T}_j}|_{H^1(\Omega)}^2$$

Error in best approx equiv to error in stable projection. Interpolation in 1D



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In nD, use Scott-Zhang.

Corol 1. *Let $(\mathcal{T}^\wedge, \mathcal{T}_\vee; \mathcal{T}_1, \dots, \mathcal{T}_m)$ be a lower diamond, then*

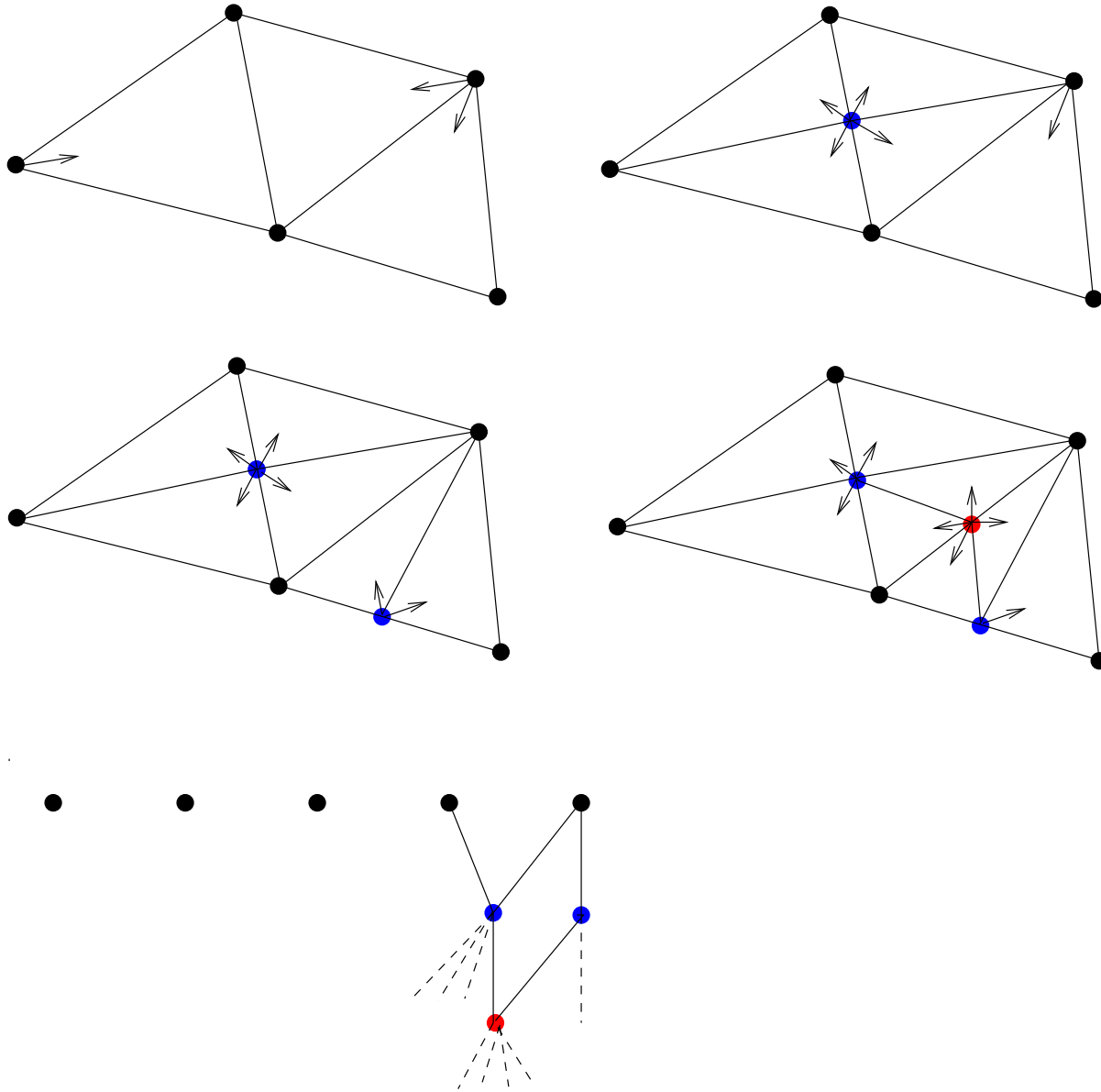
$$\mathcal{G}(\mathcal{T}^\wedge) - \mathcal{G}(\mathcal{T}_\vee) \approx \sum_{j=1}^m \mathcal{G}(\mathcal{T}_j) - \mathcal{G}(\mathcal{T}_\vee)$$

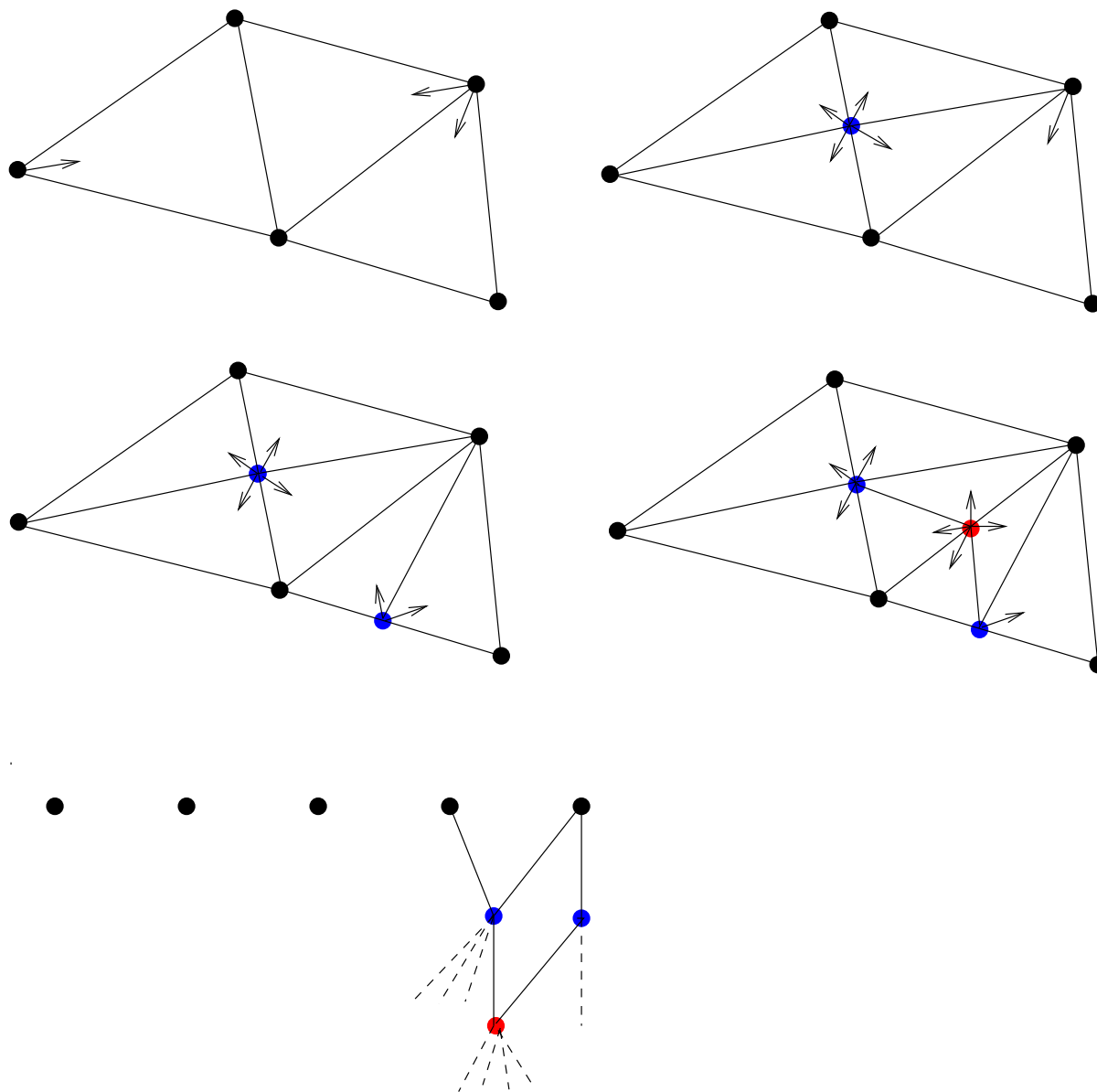
Family tree, and free nodes

Let $\mathfrak{N} := \bigcup_{\mathcal{T} \in \mathbb{T}} \mathcal{N}(\mathcal{T})$. Every $v \in \mathfrak{N} \setminus \mathcal{N}(\mathcal{T}_\perp)$ is midpoint edge $e = T \cap \tilde{T}$ opposite to the 2 (1 if $v \in \partial\Omega$) newest vertices of T and \tilde{T} .

Calling these vertices parent(s) v , a (family) tree structure is defined on \mathfrak{N} .

For $\mathcal{T} \in \mathbb{T}$, $\mathcal{N}(\mathcal{T})$ is a subtree of this infinite master tree.





Elements of $\mathcal{N}(\mathcal{T}) \setminus \mathcal{N}(\mathcal{T}^\perp)$ without children are called the free nodes of \mathcal{T} . A free node can be removed whilst retaining a triangulation in \mathbb{T} . 16/20

Proof of Lemma 1

(Modified) maximum strategy

and

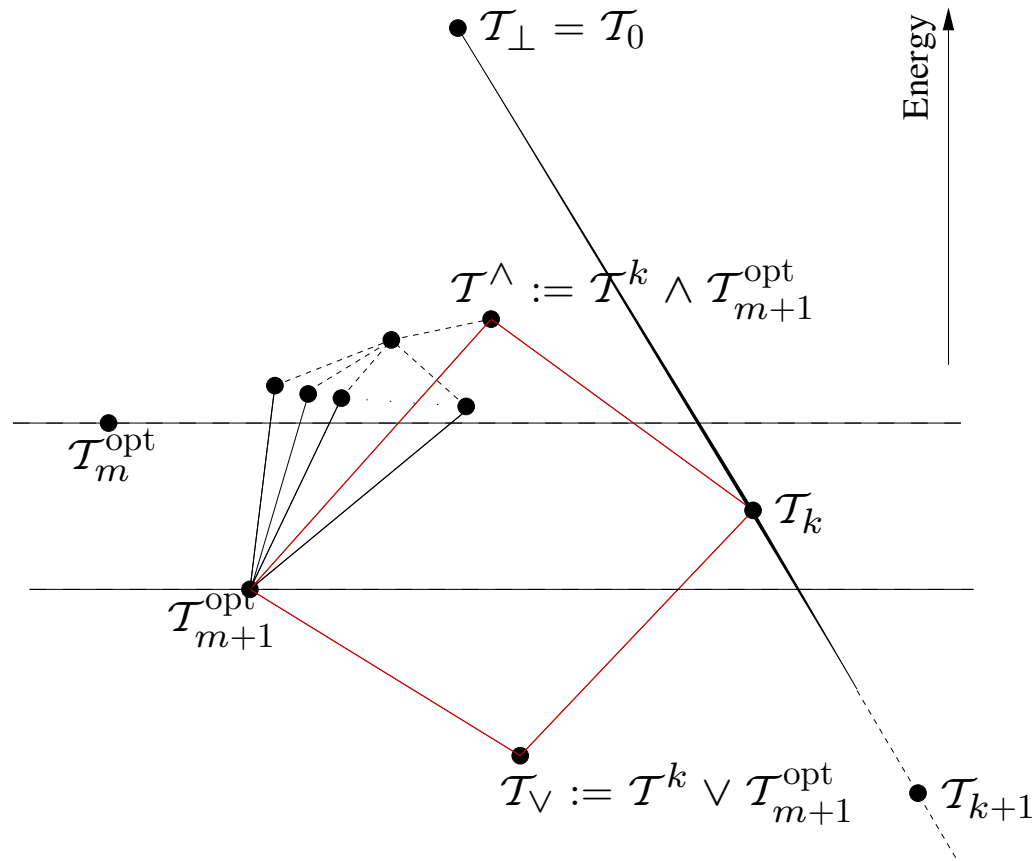
Thm 5. *Let $\mathcal{T}, \mathcal{T}_* \in \mathbb{T}$ with $\mathcal{T} \leq \mathcal{T}_*$. Then*

$$\mathcal{G}(\mathcal{T}) - \mathcal{G}(\mathcal{T}_*) \approx \mathcal{E}_{\mathcal{T}}^2(\mathcal{S}(\mathcal{T}) \setminus \mathcal{S}(\mathcal{T}_*)).$$

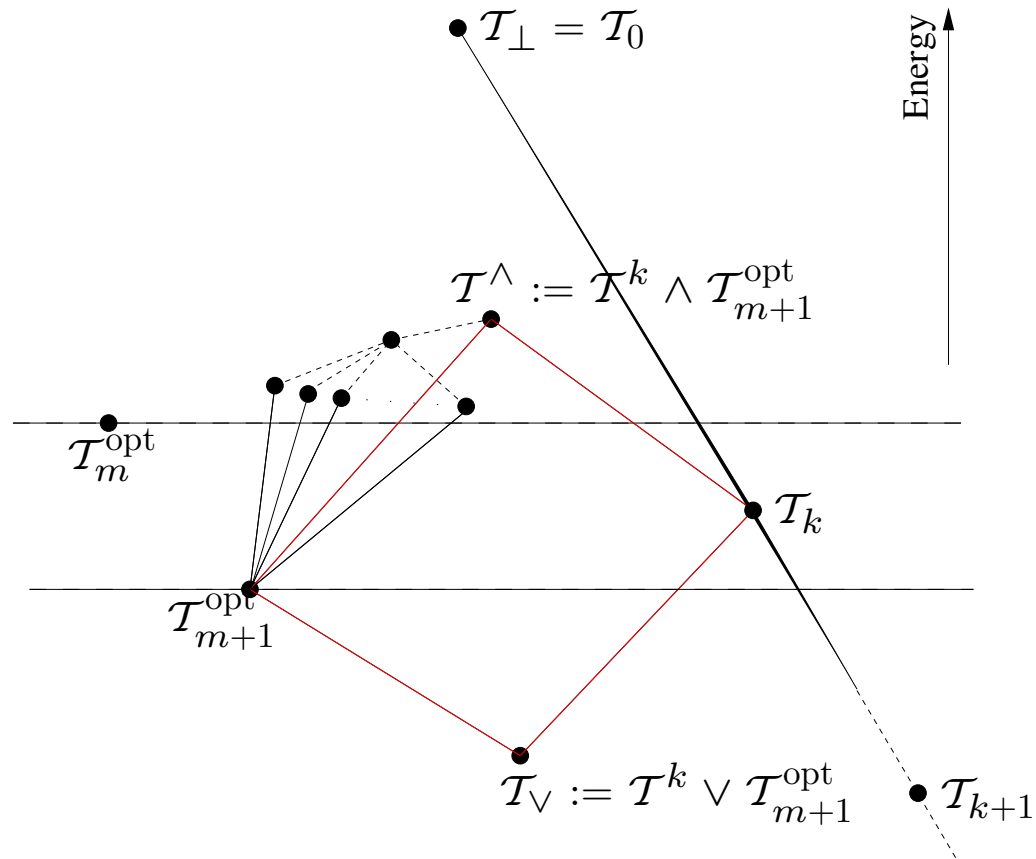
and

Thm 6. *For $\mathcal{T} \leq \mathcal{T}_*$, $\#\text{free}(\mathcal{N}(\mathcal{T})) \lesssim \#\text{free}(\mathcal{N}(\mathcal{T}_*))$.*

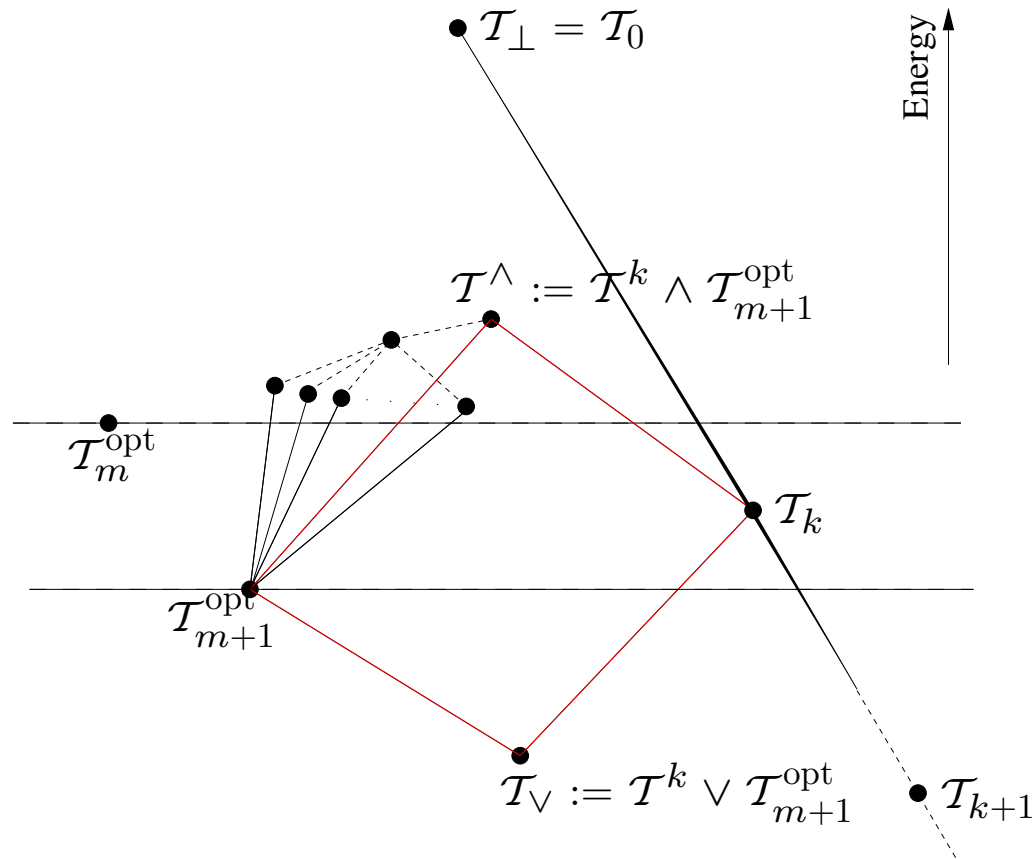
$$\rightsquigarrow \mathcal{G}(\mathcal{T}_k) - \mathcal{G}(\mathcal{T}_{k+1}) \gtrsim \frac{\#\mathcal{M}_k}{\#\text{free}(\mathcal{N}(\mathcal{T}_\vee) \setminus \mathcal{N}(\mathcal{T}_k))} (\mathcal{G}(\mathcal{T}_k) - \mathcal{G}(\mathcal{T}_\vee)).$$



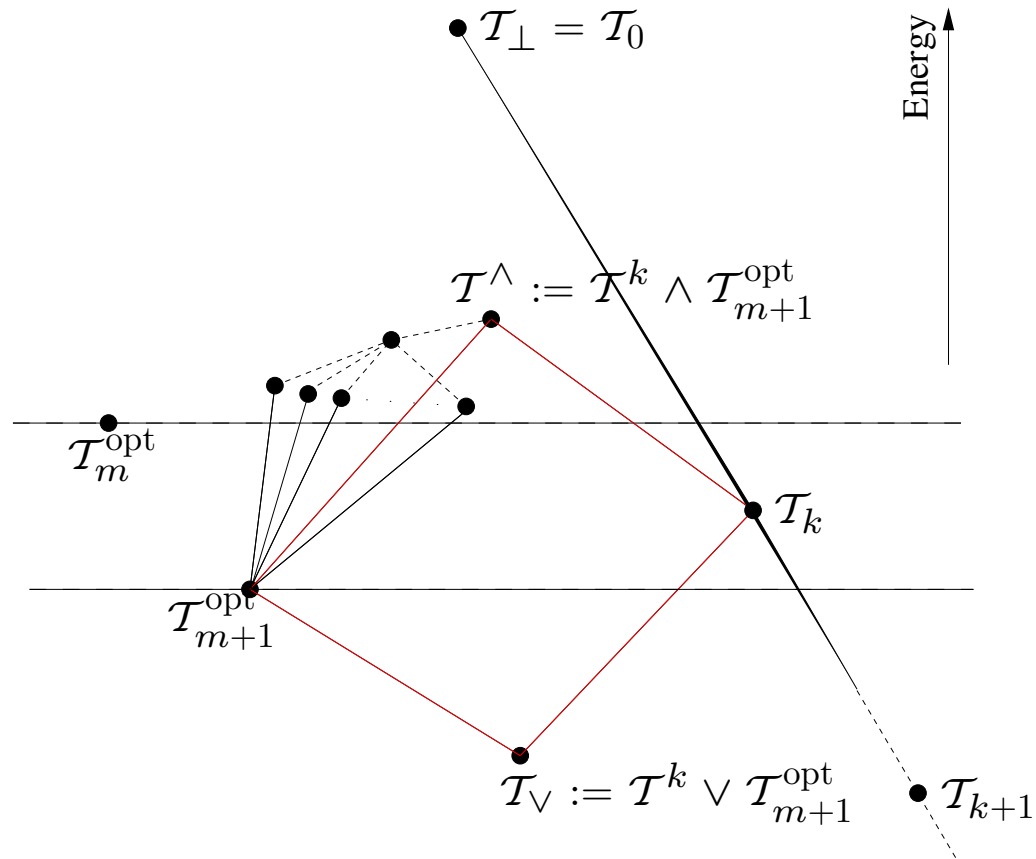
$$\mathcal{G}(\mathcal{T}_k) - \mathcal{G}(\mathcal{T}_{k+1}) \gtrsim \frac{\#\mathcal{M}_k}{\#\text{free}(\mathcal{N}(\mathcal{T}_v) \setminus \mathcal{N}(\mathcal{T}_k))} (\mathcal{G}(\mathcal{T}_k) - \mathcal{G}(\mathcal{T}_v))$$



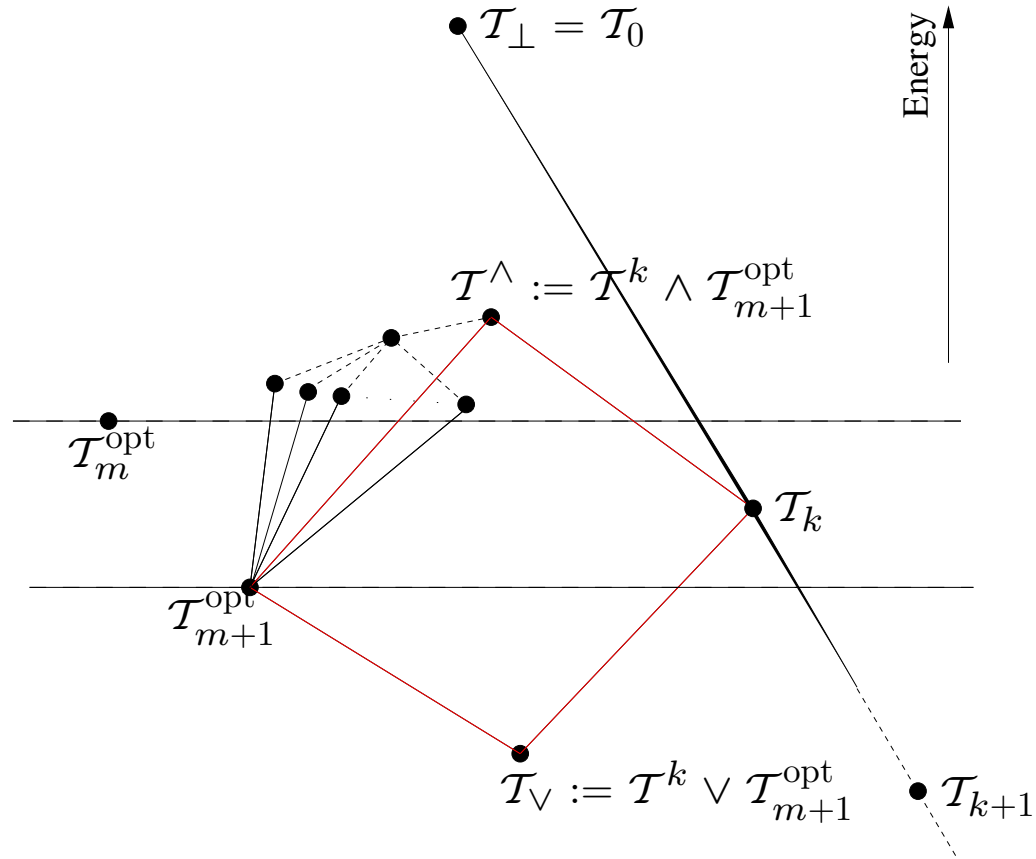
$$\begin{aligned}
\mathcal{G}(\mathcal{T}_k) - \mathcal{G}(\mathcal{T}_{k+1}) &\gtrsim \frac{\#\mathcal{M}_k}{\#\text{free}(\mathcal{N}(\mathcal{T}_\vee) \setminus \mathcal{N}(\mathcal{T}_k))} (\mathcal{G}(\mathcal{T}_k) - \mathcal{G}(\mathcal{T}_\vee)) \\
&= \frac{\#\mathcal{M}_k}{\#\text{free}(\mathcal{N}(\mathcal{T}_{m+1}^{\text{opt}}) \setminus \mathcal{N}(\mathcal{T}^\wedge))} (\mathcal{G}(\mathcal{T}_k) - \mathcal{G}(\mathcal{T}_\vee))
\end{aligned}$$



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 \mathcal{G}(\mathcal{T}_k) - \mathcal{G}(\mathcal{T}_{k+1}) &\gtrsim \frac{\#\mathcal{M}_k}{\#\text{free}(\mathcal{N}(\mathcal{T}_v) \setminus \mathcal{N}(\mathcal{T}_k))} (\mathcal{G}(\mathcal{T}_k) - \mathcal{G}(\mathcal{T}_v)) \\
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 \end{aligned}$$



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Summary

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Thank you for your attention!